

CFP CERTIFICATION PATHWAY

Steps	PATH A	PATH B	PATH C	PATH D
Academic Qualifications	<u>Academic Qualification</u> ² ● Bachelor degree		<u>Academic Qualification</u> ³ ● Master degree	<u>Academic Qualification</u> ² ● Doctoral degree
Industry and Professional Qualifications	<u>Industry Qualification</u> ● Insurance & Wealth Management (Basic) ● Banking	<u>Industry Qualification</u> ● Insurance & Wealth Management (Advance)		<u>Professional Qualification</u> ● Accounting & legal ● Financial & Risk Management
Exam - CFP® Certification Exam (Foundation Level) ¹	Need to complete	Exempted	Exempted	Exempted
Education - CFP® Certification Education Program Course 1	Need to complete	Need to complete	Exempted	Exempted
Education - CFP® Certification Education Program Course 2	Need to complete	Need to complete	Exempted	Exempted
Education - CFP® Certification Education Program Course 3	Need to complete	Need to complete	Exempted	Exempted
Exam - CFP® Certification Exam (Advanced Level – Paper 1)	Need to complete	Need to complete	Need to complete	Need to complete
Education - CFP® Certification Education Program Course 4	Need to complete	Need to complete	Need to complete	Exempted
Exam - CFP® Certification Exam (Advanced Level – Paper 2)	Need to complete	Need to complete	Need to complete	Need to complete
CFP® Certification	Apply to obtain	Apply to obtain	Apply to obtain	Apply to obtain

¹ The *Foundation Level* examination paper also serves as the “Examination” element of the 4Es requirement for AFP® certification. All terms relevant to the Foundation Level examination apply also to candidates/examinees for AFP Certification.

² The academic qualification must be awarded by accredited education institutes.

³ The academic qualification must be awarded by accredited education institutes. The Master Degree program must substantially cover topics of the CFP Certification Education Program (course 1 to 3) in order to demonstrate the relevancy of discipline to the CFP Certification Education Requirements.

LIST OF RECOGNIZED PROFESSIONAL AND INDUSTRY QUALIFICATIONS FOR CFP CERTIFICATION

Professional Qualification

Professional Qualification - Accounting & Legal [Path D]

- Associate / Fellow of Association of Chartered Certified Accountants (ACCA/FCCA);
- Associate / Fellow of Association of International Accountants (AAIA/FAIA);
- Associate / Fellow of Chartered Institute of Management Accountants (ACMA/FCMA);
- Certified General Accountant (CGA);
- Certified Management Accountant (CMA) (Australia, Canada & US);
- Certified Public Accountant / Certified Practising Accountant (CPA/FCPA) (Australia, Hong Kong, US);
- Chartered Accountant (CA) (Australia, Canada, England and Wales, Ireland, New Zealand, Scotland, South Africa, Zimbabwe);
- Members of Chartered Institute of Public Finance and Accountancy (CPFA);
- Members of Hong Kong Bar Association;
- Members of Hong Kong Law Society;

Professional Qualification - Finance & Risk Management [Path D]

- Chartered Financial Analyst (CFA);
- Fellow of Chartered Insurance Institute (FCII);
- Fellow of the Society of Actuaries (FSA);
- Financial Risk Manager (FRM)

Industry Qualifications

Industry Qualification - Insurance & Wealth Management (Advanced) [Path B]

- ASSOCIATE FINANCIAL PLANNER / AFP
- Certified Financial Management Planner (CFMP);
- Chartered Financial Consultant (ChFC);
- Chartered Life Underwriter (CLU);
- Certified Retail Wealth Professional (CRWP);
- Fellow Chartered Financial Practitioner (FChFP);

Industry Qualification - Insurance & Wealth Management (Basic) [Path A]

- Chartered Insurance Agency Manager (CIAM);
- Chartered Wealth Manager (CWM);
- Fellow, Australian and New Zealand Institute of Insurance and Finance (ANZIIF (Fellow));
- Fellow, Financial Services Institute (FFSI)
- Fellow, Life Management Institute (FLMI);
- Financial Advisers' International Qualification (FAIQ(CII));
- LUTCF Fellow (LUTCF);
- Qualified Retirement Advisor (QRA) Holder;
- Society of Registered Financial Planners (HKRFP)

Industry Qualification – Banking [Path A]

- Associate of the Hong Kong Institute of Bankers (AHKIB);
- Associate Retail Wealth Professional (ARWP)